Electronic A	cknowledgement Receipt		
EFS ID:	4085384		
Application Number:	10605551		
International Application Number:			
Confirmation Number:	2550		
Title of Invention:	SYSTEM AND METHOD FOR OPERATIONAL RISK ASSESSMENT AND CONTRO		
First Named Inventor/Applicant Name:	Jennifer B. Owens		
Customer Number:	24239		
Filer:	Robert Brian Drozd/Faye Wigfall		
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Document Description   File Name	File Size(Bytes)/	Multi	Pages
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		Total Files Size (in bytes	): 8607	761	
Warnings: Information:					
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	Fee Worksheet (PTO-06)	fee-info.pdf	30605	no	
Information:					
Warnings:			·		
	Applicant Arguments/Remarks Made in an Amendment		15	18	
	Claims		2	14	
	Amendment/Req. Reconsideration-After Non-Final Reject		1	1	
_	Document Description		Start	End	
	Mul	tipart Description/PDF files in	.zip description		
2		014_Amend_100908.pdf	c99ee46a25f1ddf65f8818df8ed45626fad90 b7a	,	
			702559	yes	18
Information:					
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1	Extension of Time	014_L01_100908.pd1	d4d994165d7bfa85ac23cc2c691ef33bb6d 72970	110	2
		014_EOT_100908.pdf	127597	no	

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# New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.